State of Ohio AI Governance Framework

AI Council
(Central Governance)

Advisory Role: DAS - Legal, Procurement, HR, DEI, Security and Privacy, Data Analytics, and IT

Agency Executive Leadership
(Use Case Approval, Data Governance, Training, Procurement)

Advisory Role: Agency Business Directors; Data Officers, Owners, Custodians, Stewards; Legal; IT; Procurement; and HR

Agency Solution Development Teams
(Use Case Selection, Data Validation, Security Controls, Testing, Monitoring)

Advisory Role: Agency Architecture, Development, Infrastructure, and Testing Teams; Vendors

AI Principles
(Fair, Accountable, Secure, Transparent, Ethical)

Collaboration

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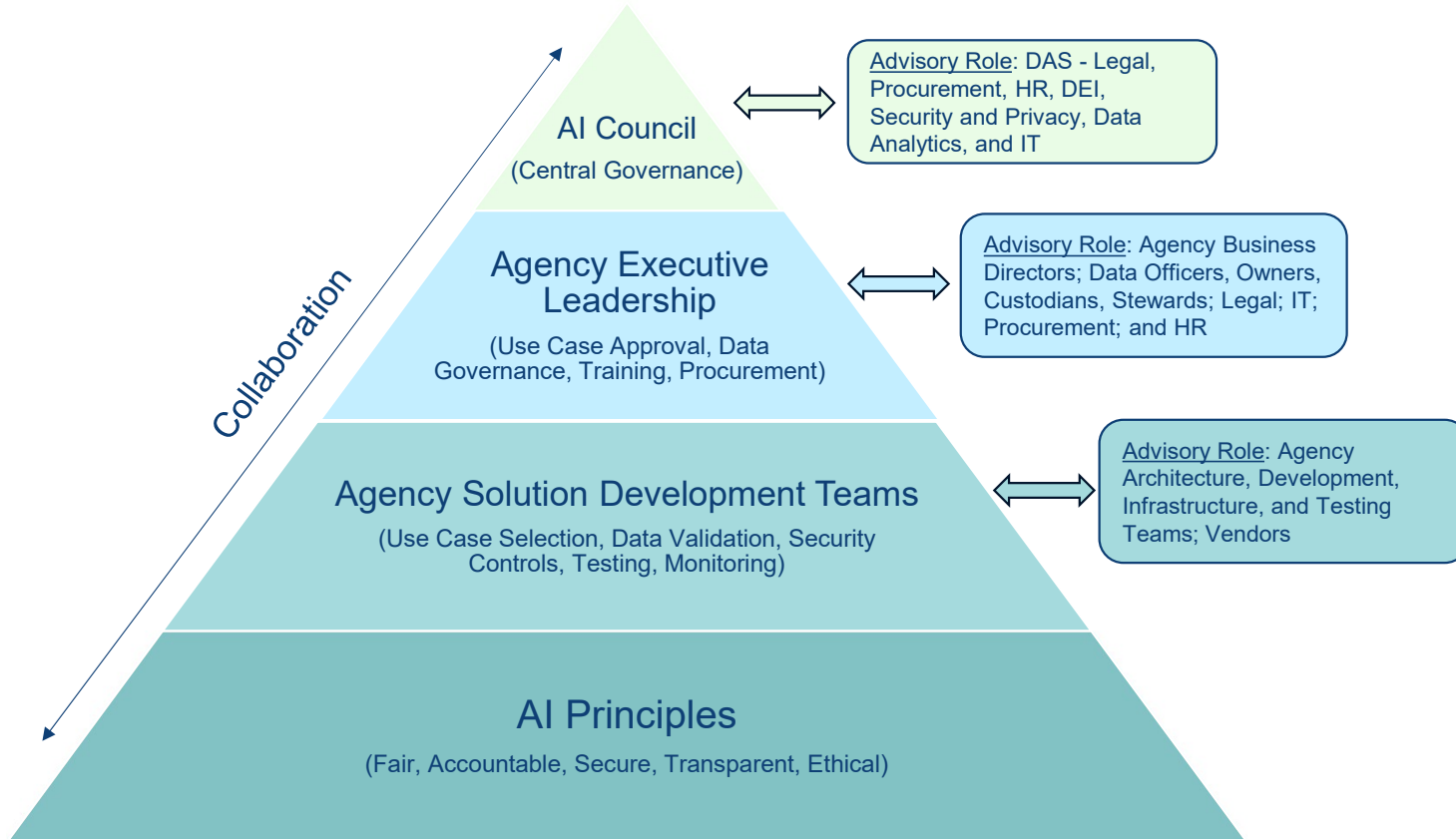
AI Governance Framework Narrative

AI Principles (fair, accountable, secure, transparent, ethical): Inform and guide State of Ohio AI solution development efforts at all levels of the AI Governance Framework.

Agency Solution Development Teams (architecture, design, development, infrastructure, and testing): Responsible for selecting AI use cases and obtaining approval for the use case and data sources from agency executives. The team also validates the data, selects the security controls, tests the solution, and provides ongoing monitoring of the solution output.

Agency Executive Leadership Team: The Director and/or other agency designees (e.g., CIO, CDO, Legal) approve all Generative AI use cases, along with the supporting data governance requirements. The agency Information Security Officer assesses and recommends the security controls based on the risk assessment of the solution. Agency Procurement Officers, in partnership with DAS, ensure compliance with state requirements for AI solicitations. Agency Human Resources Officers, in partnership with DAS, oversee alignment with the AI Principles and support workforce AI training and development efforts.

AI Council: Provides the central governance for the development and use of Generative AI solutions. The council will review proposed agency Generative AI use cases and manage a central repository of all approved solutions. It is responsible for establishing an experimental Generative AI sandbox and defining the governance around that environment. This governing body will work with Legal, Procurement, Opportunity and Accessibility, and Human Resources during the use case approval process. As a minimum, the AI Council will meet quarterly. Ad hoc meetings may also be called based on need.

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